

# Package ‘Silhouette’

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**Type** Package

**Title** Proximity Measure Based Diagnostics for Standard, Soft, and Multi-Way Clustering

**Version** 0.9.6

**Depends** R (>= 4.2.0)

**Language** en-US

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**Description** Quantifies clustering quality by measuring both cohesion within clusters and separation between clusters. Implements advanced silhouette width computations for diverse clustering structures, including: simplified silhouette (Van der Laan et al., 2003) <doi:10.1080/0094965031000136012>, Probability of Alternative Cluster normalization methods (Raymaekers & Rousseeuw, 2022) <doi:10.1080/10618600.2022.2050249>, fuzzy clustering and silhouette diagnostics using membership probabilities (Campello & Hruschka, 2006; Menardi, 2011; Bhat & Kiruthika, 2024) <doi:10.1016/j.fss.2006.07.006>, <doi:10.1007/s11222-010-9169-0>, <doi:10.1080/23737484.2024.2408534>, and multi-way clustering extensions such as block and tensor clustering (Schepers et al., 2008; Bhat & Kiruthika, 2025) <doi:10.1007/s00357-008-9005-9>, <doi:10.21203/rs.3.rs-6973596/v1>. Provides tools for computation and visualization (Rousseeuw, 1987) <doi:10.1016/0377-0427(87)90125-7> to support robust and reproducible cluster diagnostics across standard, soft, and multi-way clustering settings.

**License** GPL-2

**URL** <https://kskbhat.github.io/Silhouette/>

**BugReports** <https://github.com/kskbhat/Silhouette/issues>

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**RdMacros** lifecycle

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calSilhouette	<i>Compute Calculate of All Possible Silhouette Methods</i>
---------------	---

---

## Description

Computes all possible silhouette indices from available functions in the package and returns a summary data frame comparing crisp, fuzzy, and median silhouette values across different methods.

## Usage

```
calSilhouette(  
  prox_matrix = NULL,  
  proximity_type = c("dissimilarity", "similarity"),  
  prob_matrix = NULL,  
  a = 2,  
  print.summary = FALSE,  
  clust_fun = NULL,  
  ...  
)
```

**Arguments**

<code>prox_matrix</code>	A numeric matrix where rows represent observations and columns represent proximity measures (e.g., distances or similarities) to clusters. Typically, this is a membership or dissimilarity matrix from clustering results. If <code>clust_fun</code> is provided, <code>prox_matrix</code> should be the name of the matrix component as a string (e.g., if <code>clust_fun = fcm</code> from <b>ppclust</b> package the <code>prox_matrix = "d"</code> ).
<code>proximity_type</code>	Character string specifying the type of proximity measure in <code>prox_matrix</code> . Options are "similarity" (higher values indicate closer proximity) or "dissimilarity" (lower values indicate closer proximity). Defaults to "dissimilarity".
<code>prob_matrix</code>	A numeric matrix of cluster membership probabilities, where rows represent observations and columns represent clusters (depending on <code>prob_type</code> ). If <code>clust_fun</code> is provided, <code>prob_matrix</code> can be given as the name of the matrix component (e.g., "u" for the <code>fcm</code> function). Defaults to NULL.
<code>a</code>	Numeric value controlling the fuzzifier or weight scaling in fuzzy silhouette averaging. Higher values increase the emphasis on strong membership differences. Must be positive. Defaults to 2.
<code>print.summary</code>	Logical; if TRUE, prints a summary table of average silhouette widths and sizes for each cluster. Defaults to FALSE.
<code>clust_fun</code>	Optional S3 or S4 function object or function as character string specifying a clustering function that produces the proximity measure matrix. For example, <code>fcm</code> or "fcm". If provided, <code>prox_matrix</code> must be the name of the matrix component in the clustering output (e.g., "d" for <code>fcm</code> when <code>proximity_type = "dissimilarity"</code> ). Defaults to NULL.
<code>...</code>	Additional arguments passed to <code>clust_fun</code> , such as <code>x</code> , centers for <code>fcm</code> .

**Details**

This function computes all available silhouette methods from the package and returns a comparative summary. The methods included depend on the available input matrices:

**If `prox_matrix` is available:**

- `medoid` - Medoid-based silhouette using [Silhouette](#)
- `pac` - PAC-based silhouette using [Silhouette](#)

**If `prob_matrix` is available:**

- `pp_pac` - Posterior probabilities silhouette with PAC method using [softSilhouette](#)
- `pp_medoid` - Posterior probabilities silhouette with Medoid method using [softSilhouette](#)
- `nlpp_pac` - Negative log posterior probabilities silhouette with PAC method using [softSilhouette](#)
- `nlpp_medoid` - Negative log posterior probabilities silhouette with Medoid method using [softSilhouette](#)
- `pd_pac` - Probability distribution silhouette with PAC method using [softSilhouette](#)
- `pd_medoid` - Probability distribution silhouette with Medoid method using [softSilhouette](#)
- `cer` - Certainty-based silhouette using [cerSilhouette](#)
- `db` - Density-based silhouette using [dbSilhouette](#)

At least one of `prox_matrix` or `prob_matrix` must be provided.

**Value**

A data frame with the following columns:

**Method** Character vector of method names

**Crisp\_Silhouette** Numeric vector of crisp (unweighted) average silhouette values

**Fuzzy\_Silhouette** Numeric vector of fuzzy (weighted) average silhouette values (NA if `prob_matrix` is not available for the method)

**Median\_Silhouette** Numeric vector of median silhouette values

**See Also**

[Silhouette](#), [softSilhouette](#), [dbSilhouette](#), [cerSilhouette](#)

**Examples**

```
if (requireNamespace("ppclust", quietly = TRUE)) {
  # Example with FCM clustering
  library(ppclust)
  data(iris)
  fcm_result <- fcm(iris[, -5], centers = 3)

  # Using matrices directly
  summary_result <- calSilhouette(
    prox_matrix = fcm_result$d,
    prob_matrix = fcm_result$u,
    proximity_type = "dissimilarity",
    print.summary = TRUE
  )
}

if (requireNamespace("ppclust", quietly = TRUE)) {
  # Using clustering function
  summary_result2 <- calSilhouette(
    prox_matrix = "d",
    prob_matrix = "u",
    proximity_type = "dissimilarity",
    clust_fun = ppclust::fcm,
    x = iris[, -5],
    centers = 3,
    print.summary = TRUE
  )
}
```

---

cerSilhouette

*Certainty Silhouette Width (Cer) for Soft Clustering*

---

**Description**

Computes silhouette widths using maximum of posterior probabilities as Silhouette.

**Usage**

```
cerSilhouette(
  prob_matrix,
  average = c("crisp", "fuzzy", "median"),
  a = 2,
  sort = FALSE,
  print.summary = FALSE,
  clust_fun = NULL,
  ...
)
```

**Arguments**

<code>prob_matrix</code>	A numeric matrix of posterior probabilities where rows represent observations and columns represent clusters. Must sum to 1 by row. If <code>clust_fun</code> is provided, <code>prob_matrix</code> must be a string giving the name of the matrix component (e.g., "u").
<code>average</code>	Character string specifying the method for computing the average silhouette width. Options are: <ul style="list-style-type: none"> <li>• "crisp" – unweighted (simple) average.</li> <li>• "fuzzy" – weighted average based on membership probability differences.</li> <li>• "median" – median silhouette width across observations.</li> </ul> Defaults to "crisp".
<code>a</code>	Numeric value controlling the fuzzifier or weight scaling in fuzzy silhouette averaging. Higher values increase the emphasis on strong membership differences. Must be positive. Defaults to 2.
<code>sort</code>	Logical; if TRUE, sorts the output widths data frame by cluster and descending silhouette width. Defaults to FALSE.
<code>print.summary</code>	Logical; if TRUE, prints a summary table of average silhouette widths and sizes for each cluster. Defaults to FALSE.
<code>clust_fun</code>	Optional S3 or S4 function object or function as character string specifying a clustering function that produces the proximity matrix. For example, <code>fcm</code> or "fcm". If provided, <code>prob_matrix</code> must be a string giving the name of the matrix component (e.g., "u"). Defaults to NULL.
<code>...</code>	Additional arguments passed to <code>clust_fun</code> , such as <code>x</code> , centers for <code>fcm</code> .

**Details**

Let the posterior probability matrix or cluster membership matrix as

$$\Gamma = [\gamma_{ik}]_{n \times K},$$

The **certainty silhouette width** for observation  $i$  is:

$$\text{Cer}_i = \max_{k=1, \dots, K} \gamma_{ik}$$

# If average = "crisp", the **crisp silhouette index** is calculated as ( $CS$ ) is:

$$CS = \frac{1}{n} \sum_{i=1}^n S(x_i)$$

summarizing overall clustering quality.

If average = "fuzzy" and prob\_matrix is provided, denoted as  $\Gamma = [\gamma_{ik}]_{n \times K}$ , with  $\gamma_{ik}$  representing the probability of observation  $i$  belonging to cluster  $k$ , the **fuzzy silhouette index** ( $FS$ ) is calculated as:

$$FS = \frac{\sum_{i=1}^n w_i S(x_i)}{\sum_{i=1}^n w_i}$$

where  $w_i = \sum_{i=1}^n (\gamma_{ik} - \max_{k' \neq k} \gamma_{ik'})^\alpha$  is weight and  $\alpha$  (the a argument) controls the emphasis on confident assignments.

If average = "median" then median Silhouette is Calculated

## Value

A data frame of class "Silhouette" containing cluster assignments, nearest neighbor clusters, silhouette widths for each observation, and weights (for fuzzy clustering). The object includes the following attributes:

**proximity\_type** The proximity type used i.e., "similarity".

**method** The silhouette calculation method used i.e., "certainty".

**average** Character — the averaging method: "crisp", "fuzzy", or "median".

## References

Bhat Kapu, S., & Kiruthika. (2024). Some density-based silhouette diagnostics for soft clustering algorithms. Communications in Statistics: Case Studies, Data Analysis and Applications, 10(3-4), 221-238. doi:10.1080/23737484.2024.2408534

## See Also

[Silhouette](#), [softSilhouette](#), [dbSilhouette](#), [getSilhouette](#), [is.Silhouette](#), [plotSilhouette](#)

## Examples

```
# Compare two soft clustering algorithms using cerSilhouette
# Example: FCM vs. FCM2 on iris data, using average silhouette width as a criterion
data(iris)
if (requireNamespace("ppclust", quietly = TRUE)) {
  fcm_result <- ppclust::fcm(iris[, 1:4], 3)
  out_fcm <- cerSilhouette(prob_matrix = fcm_result$u, print.summary = TRUE)
  plot(out_fcm)
  sfc <- summary(out_fcm, print.summary = FALSE)
} else {
  message("Install 'ppclust' to run this example: install.packages('ppclust')")
}
if (requireNamespace("ppclust", quietly = TRUE)) {
```

```

fcm2_result <- ppclust::fcm2(iris[, 1:4], 3)
out_fcm2 <- cerSilhouette(prob_matrix = fcm2_result$u, print.summary = TRUE)
plot(out_fcm2)
sfc2 <- summary(out_fcm2, print.summary = FALSE)
} else {
  message("Install 'ppclust' to run this example: install.packages('ppclust')")
}
# Compare average silhouette widths of fcm and fcm2
if (requireNamespace("ppclust", quietly = TRUE)) {
  cat("FCM average silhouette width:", sfc2$avg.width, "\n",
      "FCM2 average silhouette width:", sfc2$avg.width, "\n")
}

```

---

dbSilhouette

*Density-Based Silhouette Width (DBS) for Soft Clustering*


---

### Description

Computes silhouette widths based on Menardi (2011) density-based method using log-ratios of posterior probabilities.

### Usage

```

dbSilhouette(
  prob_matrix,
  average = c("median", "crisp", "fuzzy"),
  a = 2,
  sort = FALSE,
  print.summary = FALSE,
  clust_fun = NULL,
  ...
)

```

### Arguments

prob_matrix	A numeric matrix of posterior probabilities where rows represent observations and columns represent clusters. Must sum to 1 by row. If clust_fun is provided, prob_matrix must be a string giving the name of the matrix component (e.g., "u").
average	Character string specifying the method for computing the average silhouette width. Options are: <ul style="list-style-type: none"> <li>"crisp" – unweighted (simple) average.</li> <li>"fuzzy" – weighted average based on membership probability differences.</li> <li>"median" – median silhouette width across observations.</li> </ul>

Defaults to "median".

a	Numeric value controlling the fuzzifier or weight scaling in fuzzy silhouette averaging. Higher values increase the emphasis on strong membership differences. Must be positive. Defaults to 2.
sort	Logical; if TRUE, sorts the output widths data frame by cluster and descending silhouette width. Defaults to FALSE.
print.summary	Logical; if TRUE, prints a summary table of average silhouette widths and sizes for each cluster. Defaults to FALSE.
clust_fun	Optional S3 or S4 function object or function as character string specifying a clustering function that produces the proximity matrix. For example, <code>fcm</code> or <code>"fcm"</code> . If provided, <code>prob_matrix</code> must be a string giving the name of the matrix component (e.g., <code>"u"</code> ). Defaults to NULL.
...	Additional arguments passed to <code>clust_fun</code> , such as <code>x</code> , centers for <code>fcm</code> .

### Details

Let the posterior probability matrix or cluster membership matrix as

$$\Gamma = [\gamma_{ik}]_{n \times K},$$

The **density-based silhouette width** for observation  $i$  is:

$$DBS_i = \frac{\log\left(\frac{\gamma_{ik}}{\max_{k' \neq k} \gamma_{ik'}}\right)}{\max_{j=1, \dots, n} \left| \log\left(\frac{\gamma_{jk}}{\max_{k' \neq k} \gamma_{jk'}}\right) \right|}$$

#' If average = "crisp", the **crisp silhouette index** is calculated as ( $CS$ ) is:

$$CS = \frac{1}{n} \sum_{i=1}^n S(x_i)$$

summarizing overall clustering quality.

If average = "fuzzy" and `prob_matrix` is provided, denoted as  $\Gamma = [\gamma_{ik}]_{n \times K}$ , with  $\gamma_{ik}$  representing the probability of observation  $i$  belonging to cluster  $k$ , the **fuzzy silhouette index** ( $FS$ ) is calculated as:

$$FS = \frac{\sum_{i=1}^n w_i S(x_i)}{\sum_{i=1}^n w_i}$$

where  $w_i = \sum_{i=1}^n (\gamma_{ik} - \max_{k' \neq k} \gamma_{ik'})^\alpha$  is weight and  $\alpha$  (the `a` argument) controls the emphasis on confident assignments.

If average = "median" then median Silhouette is Calculated

### Value

A data frame of class "Silhouette" containing cluster assignments, nearest neighbor clusters, silhouette widths for each observation, and weights (for fuzzy clustering). The object includes the following attributes:

**proximity\_type** The proximity type used i.e., "similarity".

**method** The silhouette calculation method used i.e., "db".

**average** Character — the averaging method: "crisp", "fuzzy", or "median".

## References

Menardi, G. (2011). Density-based silhouette diagnostics for clustering methods. *Statistics and Computing*, 21(3), 295–308. doi:10.1007/s1122201091690

## See Also

[Silhouette](#), [softSilhouette](#), [cerSilhouette](#), [getSilhouette](#), [is.Silhouette](#), [plotSilhouette](#)

## Examples

```
# Compare two soft clustering algorithms using dbSilhouette
# Example: FCM vs. FCM2 on iris data, using average silhouette width as a criterion
data(iris)
if (requireNamespace("ppclust", quietly = TRUE)) {
  fcm_result <- ppclust::fcm(iris[, 1:4], 3)
  out_fcm <- dbSilhouette(prob_matrix = fcm_result$u, print.summary = TRUE)
  plot(out_fcm)
  sfcm <- summary(out_fcm, print.summary = FALSE)
} else {
  message("Install 'ppclust' to run this example: install.packages('ppclust')")
}
if (requireNamespace("ppclust", quietly = TRUE)) {
  fcm2_result <- ppclust::fcm2(iris[, 1:4], 3)
  out_fcm2 <- dbSilhouette(prob_matrix = fcm2_result$u, print.summary = TRUE)
  plot(out_fcm2)
  sfcm2 <- summary(out_fcm2, print.summary = FALSE)
} else {
  message("Install 'ppclust' to run this example: install.packages('ppclust')")
}
# Compare average silhouette widths of fcm and fcm2
if (requireNamespace("ppclust", quietly = TRUE)) {
  cat("FCM average silhouette width:", sfcm$avg.width, "\n",
      "FCM2 average silhouette width:", sfcm2$avg.width, "\n")
}
```

---

extSilhouette

*Calculate Extended Silhouette Width for Multi-Way Clustering*

---

## Description

### [Experimental]

Computes an extended silhouette width for multi-way clustering (e.g., biclustering, triclustering, or n-mode tensor clustering) by combining silhouette widths from a list of Silhouette objects, each representing one mode of clustering. The extended silhouette width is the weighted average of the average silhouette widths from each mode, weighted by the number of observations in each mode's silhouette analysis. The output is an object of class extSilhouette.

**Usage**

```
extSilhouette(sil_list, dim_names = NULL, print.summary = FALSE)
```

**Arguments**

**sil\_list** A list of objects of class "Silhouette", typically the output of [Silhouette](#) or [softSilhouette](#), where each object represents the silhouette analysis for one mode of multi-way clustering (e.g., rows, columns, or other dimensions in biclustering or tensor clustering).

**dim\_names** An optional character vector of dimension names (e.g., c("Rows", "Columns")). If NULL, defaults to "Mode 1", "Mode 2", etc.

**print.summary** Logical; if TRUE, prints a summary of the extended silhouette width and dimension table. Default is FALSE.

**Details**

The extended silhouette width is computed as:

$$ExS = \frac{\sum (n_i \cdot w_i)}{\sum n_i}$$

where  $n_i$  is the number of observations in mode  $i$  (derived from `nrow(x$widths)`), and  $w_i$  is the average silhouette width for that mode (from `x$avg.width`). Each `Silhouette` object in `sil_list` must contain a non-empty `widths` data frame and a numeric `avg.width` value. Modes with zero observations ( $n_i = 0$ ) are not allowed, as they would result in an undefined weighted average. For consistency make sure all `Silhouette` objects derived from same method and arguments.

**Value**

A list of class "extSilhouette" with the following components:

**ext\_sil\_width** A numeric scalar representing the extended silhouette width.

**dim\_table** A data frame with columns dimension (e.g., "Mode 1", "Mode 2"), `n_obs` (number of observations), and `avg_sil_width` (average silhouette width for each mode).

**References**

Schepers, J., Ceulemans, E., & Van Mechelen, I. (2008). Selecting among multi-mode partitioning models of different complexities: A comparison of four model selection criteria. *Journal of Classification*, 25(1), 67–85. doi:10.1007/s0035700890059

Bhat Kapu, S., & Kiruthika, C. (2025). Block Probabilistic Distance Clustering: A Unified Framework and Evaluation. PREPRINT (Version 1) available at Research Square. doi:10.21203/rs.3.rs-6973596/v1

**See Also**

[Silhouette](#), [softSilhouette](#), [dbSilhouette](#), [cerSilhouette](#), [getSilhouette](#), [is.Silhouette](#)

**Examples**

```
# Example using iris dataset with two modes
data(iris)

if (requireNamespace("blockcluster", quietly = TRUE)) {
  library(blockcluster)
  result <- coclusterContinuous(
    as.matrix(iris[, -5]),
    nbcocluster = c(3, 2)
  )
} else {
  message("Install 'blockcluster': install.packages('blockcluster')")
}

if (requireNamespace("blockcluster", quietly = TRUE)) {
  sil_mode1 <- softSilhouette(
    prob_matrix = result@rowposteriorprob,
    method = "pac")
  sil_mode2 <- softSilhouette(
    prob_matrix = result@colposteriorprob,
    method = "pac"
  )

  # Extended silhouette
  ext_sil <- extSilhouette(list(sil_mode1, sil_mode2), print.summary = TRUE)
}
```

---

`getSilhouette`*Create Silhouette Object from User Components*

---

**Description**

Constructs a Silhouette class object directly from user-provided components without performing silhouette calculations. This function allows users to build a Silhouette object when they already have the necessary components.

**Usage**

```
getSilhouette(
  cluster,
  neighbor,
  sil_width,
  weight = NULL,
  proximity_type = c("dissimilarity", "similarity"),
  method = NA,
  average = c("crisp", "fuzzy", "median")
)
```

**Arguments**

cluster	Numeric or integer vector of cluster assignments for each observation
neighbor	Numeric or integer vector of nearest neighbor cluster assignments for each observation
sil_width	Numeric vector of silhouette widths for each observation (must be between -1 and +1)
weight	Numeric vector of weights for each observation (must be between 0 and 1, only used when average = "fuzzy")
proximity_type	Character; the proximity type used. Options: "similarity" or "dissimilarity"
method	Character; the silhouette calculation method used (default: NULL, can be any custom name)
average	Character; the averaging method. Options: "crisp", "fuzzy", or "median"

**Value**

A data frame of class "Silhouette" containing cluster assignments, nearest neighbor clusters, silhouette widths for each observation, and weights (for fuzzy clustering). The object includes the following attributes:

**proximity\_type** The proximity type used ("similarity" or "dissimilarity").

**method** The silhouette calculation method used ("medoid" or "pac").

**average** Character — the averaging method: "crisp", "fuzzy", or "median".

**See Also**

[Silhouette](#), [softSilhouette](#), [dbSilhouette](#), [cerSilhouette](#), [is.Silhouette](#), [plotSilhouette](#)

**Examples**

```
# Create a simple crisp Silhouette object (3 columns)
cluster_assignments <- c(1, 1, 2, 2, 3, 3)
neighbor_clusters <- c(2, 2, 1, 1, 1, 1)
silhouette_widths <- c(0.8, 0.7, 0.6, 0.9, 0.5, 0.4)

sil_obj <- getSilhouette(
  cluster = cluster_assignments,
  neighbor = neighbor_clusters,
  sil_width = silhouette_widths,
  proximity_type = "dissimilarity",
  method = "medoid",
  average = "crisp"
)
sil_obj

# Create a fuzzy Silhouette object with weights (4 columns)
weights <- c(0.9, 0.8, 0.7, 0.95, 0.6, 0.5)

sil_fuzzy <- getSilhouette(
```

```

    cluster = cluster_assignments,
    neighbor = neighbor_clusters,
    sil_width = silhouette_widths,
    weight = weights,
    proximity_type = "similarity",
    method = "pac",
    average = "fuzzy"
)
sil_fuzzy

# Custom method name
sil_custom <- getSilhouette(
  cluster = cluster_assignments,
  neighbor = neighbor_clusters,
  sil_width = silhouette_widths,
  proximity_type = "dissimilarity",
  method = "my_custom_method",
  average = "crisp"
)
sil_custom

```

---

is.Silhouette

*Check if Object is of Class Silhouette*


---

## Description

Tests whether an object is of class "Silhouette". This function checks both the class inheritance and the expected structure of a Silhouette object.

## Usage

```
is.Silhouette(x, strict = FALSE)
```

## Arguments

x	An object to test
strict	Logical; if TRUE, performs additional structural validation beyond just class checking (default: FALSE)

## Details

When `strict = FALSE`, the function only checks if the object inherits from the "Silhouette" class.

When `strict = TRUE`, the function additionally validates:

- Object is a data frame
- Has required columns: cluster, neighbor, sil\_width
- Has required attributes: proximity\_type, method, average
- Column types are appropriate (integer for cluster/neighbor, numeric for sil\_width)

The Silhouette object attributes are validated as follows:

- proximity\_type: Must be one of "dissimilarity" or "similarity"
- average: Must be one of "crisp", "fuzzy", or "median"
- method: Can be NULL or any string

### Value

Logical; TRUE if the object is of class "Silhouette", FALSE otherwise

### See Also

[Silhouette](#), [softSilhouette](#), [dbSilhouette](#), [cerSilhouette](#), [getSilhouette](#), [plotSilhouette](#)

### Examples

```
# Create a Silhouette object
cluster_assignments <- c(1, 1, 2, 2, 3, 3)
neighbor_clusters <- c(2, 2, 1, 1, 1, 1)
silhouette_widths <- c(0.8, 0.7, 0.6, 0.9, 0.5, 0.4)

sil_obj <- getSilhouette(
  cluster = cluster_assignments,
  neighbor = neighbor_clusters,
  sil_width = silhouette_widths,
  proximity_type = "dissimilarity",
  method = "medoid",
  average = "crisp"
)

# Test if object is Silhouette
is.Silhouette(sil_obj)          # TRUE
is.Silhouette(sil_obj, strict = TRUE) # TRUE

# Test with non-Silhouette objects
is.Silhouette(data.frame(a = 1, b = 2)) # FALSE
is.Silhouette(matrix(1:10, ncol = 2)) # FALSE
is.Silhouette(list(a = 1, b = 2)) # FALSE
is.Silhouette(NULL) # FALSE
```

---

plotSilhouette

*Plot Silhouette Analysis Results*

---

### Description

Creates a silhouette plot for visualizing the silhouette widths of clustering results, with bars colored by cluster and an optional summary of cluster statistics in legend.

**Usage**

```
plotSilhouette(
  x,
  label = FALSE,
  summary.legend = TRUE,
  grayscale = FALSE,
  linetype = c("dashed", "solid", "dotted", "dotted", "longdash", "twodash"),
  ...
)
```

**Arguments**

x	An object of class "Silhouette", typically the output of the <a href="#">Silhouette</a> , <a href="#">softSilhouette</a> , <a href="#">dbSilhouette</a> and <a href="#">cerSilhouette</a> function. Also supports objects classes <a href="#">eclust</a> , <a href="#">hcut</a> , <a href="#">pam</a> , <a href="#">clara</a> , <a href="#">fanny</a> , <a href="#">silhouette</a> , or <a href="#">silhouette</a> from <b>cluster</b> , <b>factoextra</b> , <b>drclust</b> packages. For these classes, explicitly call <code>plotSilhouette()</code> to generate the plot.
label	Logical; if TRUE, the x-axis is labeled with observation row indices from the input data and titled "Row Index". Defaults to FALSE.
summary.legend	Logical; if TRUE, prints a summary of average silhouette widths and sizes for each cluster in legend ("Cluster (Size): Width"). If FALSE, the legend shows only cluster numbers. Defaults to TRUE.
grayscale	Logical; if TRUE, the plot uses a grayscale color palette for clusters. If FALSE, uses the default or specified color palette. Defaults to FALSE.
linetype	Character or numeric value specifying the type of line to be used for the horizontal reference line indicating the average silhouette width. Accepts standard ggplot2 linetype values, such as: <ul style="list-style-type: none"> <li>• Character: "solid", "dashed", "dotted", "dotted", "longdash", "twodash".</li> <li>• Numeric: integers from 0 to 6 corresponding to ggplot2 line patterns.</li> </ul> Defaults to "dashed".
...	Additional arguments passed to <a href="#">ggpar</a> for customizing the plot (e.g., palette, legend, xlab, ylab, subtitle, title).

**Details**

The Silhouette plot displays the silhouette width (`sil_width`) for each observation, grouped by cluster, with bars sorted by cluster and descending silhouette width. The `summary.legend` option adds cluster sizes and average silhouette widths to the legend.

This function replica of S3 method for objects of class "Silhouette", typically produced by the [Silhouette](#), [softSilhouette](#), [dbSilhouette](#) or [cerSilhouette](#) functions in this package. It also supports objects of the following classes, with silhouette information extracted from their respective component:

- "eclust": Produced by [eclust](#) from the **factoextra** package.
- "hcut": Produced by [hcut](#) from the **factoextra** package.
- "pam": Produced by [pam](#) from the **cluster** package.

- "clara": Produced by `clara` from the **cluster** package.
- "fanny": Produced by `fanny` from the **cluster** package.
- "silhouette": Produced by `silhouette` from the **cluster** package or `silhouette` from the **drclust** package.

For these classes ("eclust", "hcut", "pam", "clara", "fanny", "silhouette"), users should explicitly call `plotSilhouette()` (e.g., `plotSilhouette(pam_result)`) to ensure the correct method is used, as the generic `plot()` may not dispatch to this function for these objects.

## Value

A `ggplot2` object representing the Silhouette plot.

## References

Rousseeuw, P. J. (1987). Silhouettes: A graphical aid to the interpretation and validation of cluster analysis. *Journal of Computational and Applied Mathematics*, 20, 53–65. doi:10.1016/0377-0427(87)901257

## See Also

[Silhouette](#), [softSilhouette](#), [dbSilhouette](#), [cerSilhouette](#), [getSilhouette](#), [is.Silhouette](#)

## Examples

```
data(iris)

# Crisp Silhouette with k-means
out <- kmeans(iris[, -5], 3)
if (requireNamespace("proxy", quietly = TRUE)) {
  library(proxy)
  dist <- dist(iris[, -5], out$centers)
  plot(Silhouette(dist))
}

#' # Fuzzy Silhouette with ppclust::fcm
if (requireNamespace("ppclust", quietly = TRUE)) {
  library(ppclust)
  out_fuzzy <- Silhouette(
    prox_matrix = "d",
    proximity_type = "dissimilarity",
    prob_matrix = "u",
    clust_fun = ppclust::fcm,
    x = iris[, 1:4],
    centers = 3,
    sort = TRUE
  )
  plot(out_fuzzy, summary.legend = FALSE, grayscale = TRUE)
} else {
  message("Install 'ppclust': install.packages('ppclust')")
}
```

```
# Silhouette plot for pam clustering
if (requireNamespace("cluster", quietly = TRUE)) {
  library(cluster)
  pam_result <- pam(iris[, 1:4], k = 3)
  plotSilhouette(pam_result)
}

# Silhouette plot for clara clustering
if (requireNamespace("cluster", quietly = TRUE)) {
  clara_result <- clara(iris[, 1:4], k = 3)
  plotSilhouette(clara_result)
}

# Silhouette plot for fanny clustering
if (requireNamespace("cluster", quietly = TRUE)) {
  fanny_result <- fanny(iris[, 1:4], k = 3)
  plotSilhouette(fanny_result)
}

# Example using base silhouette() object
if (requireNamespace("cluster", quietly = TRUE)) {
  sil <- silhouette(pam_result)
  plotSilhouette(sil)
}

# Silhouette plot for eclust clustering
if (requireNamespace("factoextra", quietly = TRUE)) {
  library(factoextra)
  eclust_result <- eclust(iris[, 1:4], "kmeans", k = 3, graph = FALSE)
  plotSilhouette(eclust_result)
}

# Silhouette plot for hcut clustering
if (requireNamespace("factoextra", quietly = TRUE)) {
  hcut_result <- hcut(iris[, 1:4], k = 3)
  plotSilhouette(hcut_result)
}

# Silhouette plot for hcut clustering
if (requireNamespace("drclust", quietly = TRUE)) {
  library(drclust)
  iris_mat <- as.matrix(iris[, -5])
  drclust_out <- dpcakm(iris_mat, 20, 3)
  d <- silhouette(iris_mat, drclust_out)
  plotSilhouette(d$cl.silhouette)
}
```

**Description**

Computes the silhouette width for each observation based on clustering results, measuring how similar an observation is to its own cluster compared to nearest neighbor cluster. The silhouette width ranges from -1 to 1, where higher values indicate better cluster cohesion and separation.

**Usage**

```
Silhouette(
  prox_matrix,
  proximity_type = c("dissimilarity", "similarity"),
  method = c("medoid", "pac"),
  average = c("crisp", "fuzzy", "median"),
  prob_matrix = NULL,
  a = 2,
  sort = FALSE,
  print.summary = FALSE,
  clust_fun = NULL,
  ...
)

## S3 method for class 'Silhouette'
plot(
  x,
  label = FALSE,
  summary.legend = TRUE,
  grayscale = FALSE,
  linetype = c("dashed", "solid", "dotted", "dotted", "longdash", "twodash"),
  ...
)

## S3 method for class 'Silhouette'
summary(object, print.summary = TRUE, ...)
```

**Arguments**

<code>prox_matrix</code>	A numeric matrix where rows represent observations and columns represent proximity measures (e.g., distances or similarities) to clusters. Typically, this is a membership or dissimilarity matrix from clustering results. If <code>clust_fun</code> is provided, <code>prox_matrix</code> should be the name of the matrix component as a string (e.g., if <code>clust_fun = fcm</code> from <b>ppclust</b> package the <code>prox_matrix = "d"</code> ).
<code>proximity_type</code>	Character string specifying the type of proximity measure in <code>prox_matrix</code> . Options are "similarity" (higher values indicate closer proximity) or "dissimilarity" (lower values indicate closer proximity). Defaults to "dissimilarity".
<code>method</code>	Character string specifying the silhouette calculation method. Options are "pac" (Probability of Alternative Cluster) or "medoid". Defaults to "medoid".
<code>average</code>	Character string specifying the method for computing the average silhouette width. Options are:

- "crisp" – unweighted (simple) average.
- "fuzzy" – weighted average based on membership probability differences.
- "median" – median silhouette width across observations.

Defaults to "crisp".

prob_matrix	A numeric matrix of cluster membership probabilities, where rows represent observations and columns represent clusters (depending on prob_type). If clust_fun is provided, prob_matrix can be given as the name of the matrix component (e.g., "u" for the fcm function). When NULL and average = "fuzzy", the function falls back to computing the "crisp" silhouette with a warning, since fuzzy silhouette widths require membership probabilities. Defaults to NULL.
a	Numeric value controlling the fuzzifier or weight scaling in fuzzy silhouette averaging. Higher values increase the emphasis on strong membership differences. Must be positive. Defaults to 2.
sort	Logical; if TRUE, sorts the output widths data frame by cluster and descending silhouette width. Defaults to FALSE.
print.summary	Logical; if TRUE, prints a summary table of average silhouette widths and sizes for each cluster. Defaults to TRUE.
clust_fun	Optional S3 or S4 function object or function as character string specifying a clustering function that produces the proximity measure matrix. For example, fcm or "fcm". If provided, prox_matrix must be the name of the matrix component in the clustering output (e.g., "d" for fcm when proximity_type = "dissimilarity"). Defaults to NULL.
...	Additional arguments passed to clust_fun, such as x, centers for fcm.
x	An object of class "Silhouette", typically the output of the Silhouette, softSilhouette, dbSilhouette and cerSilhouette function. Also supports objects classes eclust, hcut, pam, clara, fanny, silhouette, or silhouette from cluster, factoextra, drclust packages. For these classes, explicitly call plotSilhouette() to generate the plot.
label	Logical; if TRUE, the x-axis is labeled with observation row indices from the input data and titled "Row Index". Defaults to FALSE.
summary.legend	Logical; if TRUE, prints a summary of average silhouette widths and sizes for each cluster in legend ("Cluster (Size): Width"). If FALSE, the legend shows only cluster numbers. Defaults to TRUE.
grayscale	Logical; if TRUE, the plot uses a grayscale color palette for clusters. If FALSE, uses the default or specified color palette. Defaults to FALSE.
linetype	Character or numeric value specifying the type of line to be used for the horizontal reference line indicating the average silhouette width. Accepts standard ggplot2 linetype values, such as: <ul style="list-style-type: none"> <li>• Character: "solid", "dashed", "dotted", "dotdash", "longdash", "twodash".</li> <li>• Numeric: integers from 0 to 6 corresponding to ggplot2 line patterns.</li> </ul> Defaults to "dashed".
object	An object of class "Silhouette", typically the output of the Silhouette, softSilhouette, dbSilhouette, and cerSilhouette function.

## Details

The Silhouette function implements the Simplified Silhouette method introduced by Van der Laan, Pollard, & Bryan (2003), which adapts and generalizes the classic silhouette method of Rousseeuw (1987).

Clustering quality is evaluated using a proximity matrix, denoted as  $\Delta = [\delta_{ik}]_{n \times K}$  for dissimilarity measures or  $\Delta' = [\delta'_{ik}]_{n \times K}$  for similarity measures. Here,  $i = 1, \dots, n$  indexes observations, and  $k = 1, \dots, K$  indexes clusters.  $\delta_{ik}$  represents the dissimilarity (e.g., distance) between observation  $i$  and cluster  $k$ , while  $\delta'_{ik}$  represents similarity values.

The silhouette width  $S(x_i)$  for observation  $i$  depends on the proximity type:

For **dissimilarity** measures:

$$S(x_i) = \frac{\min_{k' \neq k} \delta_{ik'} - \delta_{ik}}{N(x_i)}$$

For **similarity** measures:

$$S(x_i) = \frac{\delta'_{ik} - \max_{k' \neq k} \delta'_{ik'}}{N(x_i)}$$

where  $N(x_i)$  is a normalizing factor defined by the method.

**Choice of method:** The normalizer  $N(x_i)$  is selected according to the method argument. The method names reference their origins but may be used with any proximity matrix, not exclusively certain clustering algorithms:

- For medoid (Van der Laan et al., 2003):
  - Dissimilarity:  $\max(\delta_{ik}, \min_{k' \neq k} \delta_{ik'})$
  - Similarity:  $\max(\delta'_{ik}, \max_{k' \neq k} \delta'_{ik'})$
- For pac (Raymaekers & Rousseeuw, 2022):
  - Dissimilarity:  $\delta_{ik} + \min_{k' \neq k} \delta_{ik'}$
  - Similarity:  $\delta'_{ik} + \max_{k' \neq k} \delta'_{ik'}$

**Note:** The "medoid" and "pac" options reflect the normalization formula—not a requirement to use the PAM algorithm or posterior/ensemble methods—and are general scoring approaches. These methods can be applied to any suitable proximity matrix, including proximity, similarity, or dissimilarity matrices derived from **classification algorithms**. This flexibility means silhouette indices may be computed to assess group separation when clusters or groups are formed from classification-derived proximities, not only from unsupervised clustering.

If average = "crisp", the **crisp silhouette index** is calculated as ( $CS$ ) is:

$$CS = \frac{1}{n} \sum_{i=1}^n S(x_i)$$

summarizing overall clustering quality.

If average = "fuzzy" and prob\_matrix is provided, denoted as  $\Gamma = [\gamma_{ik}]_{n \times K}$ , with  $\gamma_{ik}$  representing the probability of observation  $i$  belonging to cluster  $k$ , the **fuzzy silhouette index** ( $FS$ ) is calculated as:

$$FS = \frac{\sum_{i=1}^n w_i S(x_i)}{\sum_{i=1}^n w_i}$$

where  $w_i = \sum_{i=1}^n (\gamma_{ik} - \max_{k' \neq k} \gamma_{ik'})^\alpha$  is weight and  $\alpha$  (the a argument) controls the emphasis on confident assignments.

If average = "median" then median Silhouette is Calculated

**Value**

A data frame of class "Silhouette" containing cluster assignments, nearest neighbor clusters, silhouette widths for each observation, and weights (for fuzzy clustering). The object includes the following attributes:

**proximity\_type** The proximity type used ("similarity" or "dissimilarity").

**method** The silhouette calculation method used ("medoid" or "pac").

**average** Character — the averaging method: "crisp", "fuzzy", or "median".

Further, `summary` returns a list containing:

- `clus.avg.widths`: A named numeric vector of average silhouette widths per cluster.
- `avg.width`: The overall average silhouette width.
- `sil.sum`: A data frame with columns `cluster`, `size`, and `avg.sil.width` summarizing cluster sizes and average silhouette widths.

**References**

Rousseeuw, P. J. (1987). Silhouettes: A graphical aid to the interpretation and validation of cluster analysis. *Journal of Computational and Applied Mathematics*, 20, 53–65. doi:10.1016/0377-0427(87)901257

Van der Laan, M., Pollard, K., & Bryan, J. (2003). A new partitioning around medoids algorithm. *Journal of Statistical Computation and Simulation*, 73(8), 575–584. doi:10.1080/0094965031000136012

Campello, R. J., & Hruschka, E. R. (2006). A fuzzy extension of the silhouette width criterion for cluster analysis. *Fuzzy Sets and Systems*, 157(21), 2858–2875. doi:10.1016/j.fss.2006.07.006

Raymaekers, J., & Rousseeuw, P. J. (2022). Silhouettes and quasi residual plots for neural nets and tree-based classifiers. *Journal of Computational and Graphical Statistics*, 31(4), 1332–1343. doi:10.1080/10618600.2022.2050249

Bhat Kapu, S., & Kiruthika. (2024). Some density-based silhouette diagnostics for soft clustering algorithms. *Communications in Statistics: Case Studies, Data Analysis and Applications*, 10(3-4), 221-238. doi:10.1080/23737484.2024.2408534

**See Also**

[softSilhouette](#), [dbSilhouette](#), [cerSilhouette](#), [getSilhouette](#), [is.Silhouette](#), [plotSilhouette](#)

**Examples**

```
# Standard silhouette with k-means on iris dataset
data(iris)
# Crisp Silhouette with k-means
out <- kmeans(iris[, -5], 3)
if (requireNamespace("proxy", quietly = TRUE)) {
  library(proxy)
  dist <- proxy::dist(iris[, -5], out$centers)
  silh_out <- Silhouette(dist, print.summary = TRUE)
  plot(silh_out)
} else {
```

```

  message("Install 'proxy': install.packages('ppclust')")
}

# Scree plot for optimal clusters (2 to 7)
if (requireNamespace("ppclust", quietly = TRUE)) {
  library(ppclust)
  avg_sil_width <- rep(NA,7)
  for (k in 2:7) {
    out <- Silhouette(
      prox_matrix = "d",
      proximity_type = "dissimilarity",
      prob_matrix = "u",
      clust_fun = ppclust::fcm,
      x = iris[, 1:4],
      centers = k,
      average = "fuzzy"
    )
    # Compute average silhouette width from widths
    avg_sil_width[k] <- summary(out, print.summary = FALSE)$avg.width
  }
  plot(avg_sil_width,
       type = "o",
       ylab = "Overall Silhouette Width",
       xlab = "Number of Clusters",
       main = "Scree Plot"
  )
} else {
  message("Install 'ppclust': install.packages('ppclust')")
}

```

---

softSilhouette

*Calculate Silhouette Width for Soft Clustering Algorithms*


---

## Description

Computes silhouette widths for soft clustering results by interpreting cluster membership probabilities (or their transformations) as proximity measures. Although originally designed for evaluating clustering quality within a method, this adaptation allows heuristic comparison across soft clustering algorithms using average silhouette widths.

## Usage

```

softSilhouette(
  prob_matrix,
  prob_type = c("pp", "nlpp", "pd"),
  method = c("pac", "medoid"),
  average = c("crisp", "fuzzy", "median"),
  a = 2,

```

```

    sort = FALSE,
    print.summary = FALSE,
    clust_fun = NULL,
    ...
  )

```

## Arguments

prob_matrix	A numeric matrix where rows represent observations and columns represent cluster membership probabilities (or transformed probabilities, depending on prob_type). If clust_fun is provided, prob_matrix should be the name of the matrix component as a string (e.g., "u" for fcm).
prob_type	Character string specifying the type transformation of membership matrix considered as proximity matrix in prob_matrix. Options are: <ul style="list-style-type: none"> <li>"pp" Posterior probabilities <math>[\gamma_{ik}]_{n \times K}</math> (non-negative, typically summing to 1 per row), treated as similarities</li> <li>"nlpp" Negative log of posterior probabilities <math>[-\ln \gamma_{ik}]_{n \times K}</math> (non-positive), treated as dissimilarities.</li> <li>"pd" Probability distribution <math>[\gamma_{ik}/\pi_k]_{n \times K}</math> (normalized posterior probabilities relative to cluster proportions <math>\pi_k</math>), treated as similarities.</li> </ul> Defaults to "pp".
method	Character string specifying the silhouette calculation method. Options are "pac" (Probability of Alternative Cluster) or "medoid". Defaults to "pac".
average	Character string specifying the method for computing the average silhouette width. Options are: <ul style="list-style-type: none"> <li>• "crisp" – unweighted (simple) average.</li> <li>• "fuzzy" – weighted average based on membership probability differences.</li> <li>• "median" – median silhouette width across observations.</li> </ul> Defaults to "crisp".
a	Numeric value controlling the fuzzifier or weight scaling in fuzzy silhouette averaging. Higher values increase the emphasis on strong membership differences. Must be positive. Defaults to 2.
sort	Logical; if TRUE, sorts the output widths data frame by cluster and descending silhouette width. Defaults to FALSE.
print.summary	Logical; if TRUE, prints a summary table of average silhouette widths and sizes for each cluster. Defaults to FALSE.
clust_fun	Optional S3 or S4 function object or function as character string specifying a clustering function that produces the proximity measure matrix. For example, fcm or "fcm". If provided, prox_matrix must be the name of the matrix component in the clustering output (e.g., "d" for fcm when proximity_type = "dissimilarity"). Defaults to NULL.
...	Additional arguments passed to clust_fun, such as x, centers for fcm.

## Details

Although the silhouette method was originally developed for evaluating clustering structure within a single result, this implementation allows leveraging cluster membership probabilities from soft clustering methods to construct proximity-based silhouettes. These silhouette widths can be compared heuristically across different algorithms to assess clustering quality.

See [doi:10.1080/23737484.2024.2408534](https://doi.org/10.1080/23737484.2024.2408534) for more details.

#' If average = "crisp", the **crisp silhouette index** is calculated as ( $CS$ ) is:

$$CS = \frac{1}{n} \sum_{i=1}^n S(x_i)$$

summarizing overall clustering quality.

If average = "fuzzy" and prob\_matrix is provided, denoted as  $\Gamma = [\gamma_{ik}]_{n \times K}$ , with  $\gamma_{ik}$  representing the probability of observation  $i$  belonging to cluster  $k$ , the **fuzzy silhouette index** ( $FS$ ) is calculated as:

$$FS = \frac{\sum_{i=1}^n w_i S(x_i)}{\sum_{i=1}^n w_i}$$

where  $w_i = \sum_{k=1}^K (\gamma_{ik} - \max_{k' \neq k} \gamma_{ik'})^\alpha$  is weight and  $\alpha$  (the a argument) controls the emphasis on confident assignments.

If average = "median" then median Silhouette is Calculated

## Value

A data frame of class "Silhouette" containing cluster assignments, nearest neighbor clusters, silhouette widths for each observation, and weights (for fuzzy clustering). The object includes the following attributes:

**proximity\_type** The proximity type used ("similarity" or "dissimilarity").

**method** The silhouette calculation method used ("medoid" or "pac").

**average** Character — the averaging method: "crisp", "fuzzy", or "median".

## References

Raymaekers, J., & Rousseeuw, P. J. (2022). Silhouettes and quasi residual plots for neural nets and tree-based classifiers. *Journal of Computational and Graphical Statistics*, 31(4), 1332–1343. [doi:10.1080/10618600.2022.2050249](https://doi.org/10.1080/10618600.2022.2050249)

Bhat Kapu, S., & Kiruthika. (2024). Some density-based silhouette diagnostics for soft clustering algorithms. *Communications in Statistics: Case Studies, Data Analysis and Applications*, 10(3-4), 221-238. [doi:10.1080/23737484.2024.2408534](https://doi.org/10.1080/23737484.2024.2408534)

## See Also

[Silhouette](#), [dbSilhouette](#), [cerSilhouette](#), [getSilhouette](#), [is.Silhouette](#), [plotSilhouette](#)

**Examples**

```
# Compare two soft clustering algorithms using softSilhouette
# Example: FCM vs. FCM2 on iris data, using average silhouette width as a criterion
data(iris)
if (requireNamespace("ppclust", quietly = TRUE)) {
  fcm_result <- ppclust::fcm(iris[, 1:4], 3)
  out_fcm <- softSilhouette(prob_matrix = fcm_result$u, print.summary = TRUE)
  plot(out_fcm)
  sfcf <- summary(out_fcm, print.summary = FALSE)
} else {
  message("Install 'ppclust' to run this example: install.packages('ppclust')")
}
if (requireNamespace("ppclust", quietly = TRUE)) {
  fcm2_result <- ppclust::fcm2(iris[, 1:4], 3)
  out_fcm2 <- softSilhouette(prob_matrix = fcm2_result$u, print.summary = TRUE)
  plot(out_fcm2)
  sfcf2 <- summary(out_fcm2, print.summary = FALSE)
} else {
  message("Install 'ppclust' to run this example: install.packages('ppclust')")
}
# Compare average silhouette widths of fcm and fcm2
if (requireNamespace("ppclust", quietly = TRUE)) {
  cat("FCM average silhouette width:", sfcf$avg.width, "\n",
      "FCM2 average silhouette width:", sfcf2$avg.width, "\n")
}
```

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